

STATE OF NORTH DAKOTA DEFERRED COMPENSATION PLAN

LIST OF PROVIDER COMPANIES

Revised: 11/16/2021

This list is not inclusive of all sales representatives eligible to provide investment services for the State of North Dakota Deferred Compensation Plan. A sales representative, with any of the listed Provider Companies, who is licensed by the North Dakota State Securities Commissioner or the North Dakota State Insurance Commissioner or both, is eligible to provide investment services under the plan. This list is updated quarterly.

Authorized Providers for New Enrollments - Effective Jan 1, 2021

PROVIDER COMPANY

NDPERS Deferred Compensation Companion Plan
(Serviced by TIAA)

HOME OFFICE

730 Third Ave
New York, NY 10017

Companion Plan National Contact Center
(800-842-2252) account changes, updates, distributions, etc.

Minneapolis Office
(800-877-6602) to contact an individual consultant

PROVIDER COMPANY

SALES REPRESENTATIVE

CONTACT ADDRESS

HOME OFFICE

**Bravera Wealth
(Formerly American
Trust Center)**

Thomas Gunderson Jr.
(701) 255-6832
(800) 279-6016

Planningteam, Inc.
320 N 4th St
Bismarck, ND 58501

Courtney Berger
Bravera Wealth
401 N 4th St Ste 301
Bismarck, ND 58501
(701) 355-4820
(888) 872-4826

Wayne A. Muehler
(701) 255-6832

Planningteam, Inc.
320 N 4th St
Bismarck, ND 58501

Perry Bohl
(701) 255-6832
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Planningteam, Inc.
320 N 4th St
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James Braun
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(800) 279-6016

Planningteam, Inc.
320 N 4th St
Bismarck, ND 58501

Brian Johnson
(701) 572-3246

LPL Financial
PO Box 1088
Williston, ND 58802

Ron Schmidt
(701) 483-1100

LPL Financial
683 State Ave Ste H
Dickinson, ND 58601

Jay Panzer
(701) 746-6010

LPL Financial
4501 S Washington St
Grand Forks, ND 58201

Bravera Wealth

Julie Hinsz
(701) 250-3248

Planningteam, Inc.
320 N 4th St
Bismarck, ND 58501

<u>PROVIDER COMPANY</u>	<u>SALES REPRESENTATIVE</u>	<u>CONTACT ADDRESS</u>	<u>HOME OFFICE</u>
	Tom Glandt (701) 845-4623	LPL Financial 240 NW 3 rd St Valley City, ND 58072	
	Casey Hande (701) 523-4949	LPL Financial PO Box 98 Bowman, ND 58623	
	James Fettig (701) 250-5126 (800) 648-2423	LPL Financial 207 E Front Ave Bismarck, ND 58504	
	Darin Bohl (701) 228-2771	LPL Financial 101 11 th St E Ste 1 Bottineau, ND 58318	
	Dream O'Brien (701) 456-3390	Choice Financial Wealth Mgmt 323 18 th St W Dickinson, ND 58601	
	Eric Aldinger (701) 255-6832	Planningteam, Inc. 320 N 4 th St Bismarck, ND 58501	
	Nate Natwick (701) 250-5126	207 E Front Ave Ste B Bismarck, ND 58501	
	Tasha Gartner (701) 456-3370	140 1 st Ave W Dickinson, ND 58601	
	Cole Lauinger (701) 837-5060	925 20 th Ave SW Minot, ND 58701	
	Melinda Kempel (701) 355-4982	401 N 4 th St Bismarck, ND 58501	
	Erin Lamp (701) 251-2065	2510 8 th Ave SW Jamestown, ND 58401	
	Carly Rott (701) 883-4215	PO Box 235 Lamoure, ND 58458	
Bravera Wealth	Shayla Evink (701) 255-6832	320 N 4 th St Bismarck, ND 58501	
	Tyler Liffbrig (701) 255-6832	320 N 4 th St Bismarck, ND 58501	
	Matt Bowar (701) 282-3100	3050 Sienna Dr S Ste 100 Fargo, ND 58104-8920	

<u>PROVIDER COMPANY</u>	<u>SALES REPRESENTATIVE</u>	<u>CONTACT ADDRESS</u>	<u>HOME OFFICE</u>
Bank of ND <i>(Not invested funds - Savings account only)</i>	Kim Neigum (701) 328-5618	PO Box 5509 Bismarck, ND 58502	
	Laura Kahn Brademeyer (701) 328-5630	PO Box 5509 Bismarck, ND 58502	
Nationwide Life Insurance	Steve Mahn (720) 749-9101	4617 35 th Ave S Minneapolis, MN 55406	Jackie Tillery Nationwide Retirement Solutions 10 W Nationwide Blvd Columbus, OH 43215 (614) 435-5888
Waddell & Reed, Inc.	Leon Stastny (701) 222-2445	1120 College Dr Ste 214 Bismarck, ND 58501	Allan Browns Asst Vice President Waddell & Reed Inc PO Box 29217 Shawnee Mission KS 66201-9217 (913) 236-1540
	Russell McDowall (701) 222-2445	1120 College Dr Ste 214 Bismarck, ND 58501	
	Parker VanderWal (701) 282-4225	4610 Amber Valley Parkway Ste F Fargo, ND 58104	
	Randy Edwards (701) 282-4225	4610 Amber Valley Parkway Ste F Fargo, ND 58104-8621	
	Jon E Peterson (701) 282-4225	4610 Amber Valley Parkway Ste F Fargo, ND 58104-8621	
	Mikael Newman (507) 282-5323	2765 Commerce Dr NW Ste 110 Rochester, MN 55901	
	Justin Bidwell (507) 282-5323	2765 Commerce Dr NW Ste 110 Rochester, MN 55901	

PROVIDER COMPANY

SALES REPRESENTATIVE

CONTACT ADDRESS

HOME OFFICE

Providers no longer Authorized for New Enrollments - Effective Jan 1, 2021

**AXA Equitable
Life Insurance Co.**

David Sufficool
(612) 243-3268

2 Meridian Crossings
Ste 450
Minneapolis, MN 55423

Fred Makonnen
(216) 978-4489
AXA Equitable
2574 Fairmount Blvd

Cleveland Heights,

OH

44106

Nicholas Palzer
(612) 243-3251

2 Meridian Crossings
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Minneapolis, MN 55423

Jackson National Life

Claudia Mackenzie
(701) 251-2065

LPL Financial
PO Box 1438
Jamestown, ND 58402

Jeff Thompson
Jackson National
Life Ins Co
1 Corporate
Way

Ronald Schmidt
(701) 483-1100

LPL Financial
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Dickinson, ND 58601-4644

Lansing,MI
48951
(800)565-9044 x3960

Jay Panzer
(701) 746-6010

LPL Financial
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Grand Forks, ND 58201

Terri O'Clair
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Calton & Associates Inc.
418 E Rosser Ave Ste 350
Bismarck, ND 58501

Eldon Erickson
(701) 852-6200
(866) 455-6200

Raymond James Financial
1111 31st Ave SW Ste D
Minot, ND 58701

Brian Johnson
(218) 643-8721

Bremer Bank
225 N 5th St
Breckenridge, MN 56520

Bill Davis
(701) 258-9888

Wells Fargo Advisors
4501 Coleman St Ste 204
Bismarck, ND 58503

Tyler Huck
(701) 663-1410

Schafer Financial Group
402 1st St NW
Mandan, ND 58554

Peggy Winbauer
(701) 223-7274

Raymond James Financial Services
600 S 2nd St Ste 200
Bismarck, ND 58504-5729

PROVIDER COMPANY**SALES REPRESENTATIVE****CONTACT ADDRESS****HOME OFFICE****Jackson National Life**

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Devils Lake, ND 58301

Mitchell H Iverson
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Grand Forks, ND 58201

Jason Sauer
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JS Financial Services
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Bismarck, ND 58503

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Financial Advantage
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Shane Hafner
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Securian Financial
Advisors of ND, Inc.
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Mandan, ND 58554

William Loberg
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Wahpeton, ND 58075

Jeff Wallgren
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Financial Advantage
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Fargo, ND 58103

Jeffery Wehri
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722 Demers Ave
East Grand Forks, MN 56721-1842

Bryan Clendenen
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Bowman, ND 58623

PROVIDER COMPANY**SALES REPRESENTATIVE****CONTACT ADDRESS****HOME OFFICE****Jackson National Life**

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Jane Reede
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1131 Westrac Dr Ste 206
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James R Caldwell Jr.
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Freedom Financial Group
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Bismarck, ND 58501

Holly Gruba
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Fargo, ND 58103

Cody D Schoonover
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Bismarck, ND 58503

Brien Krank
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Raymond James
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Fargo, ND 58102

Marissa D Nehlsen
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(888) 360-9393Edward Jones
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(701) 258-9888Wells Fargo Advisors
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(800) 366-0653Asset Advisors, Inc.
PO Box 2538
Williston, ND 58802-2538

<u>PROVIDER COMPANY</u>	<u>SALES REPRESENTATIVE</u>	<u>CONTACT ADDRESS</u>	<u>HOME OFFICE</u>
Mass Mutual	Maye Jones (701) 838-0142 (888) 838-5837	Edward Jones Slumberland Plaza 305 20 th Ave SW Ste 3 Minot, ND 58701-6920	
	William O. Haug (701) 746-1365 (800) 437-5389	Stifel Nicolaus & Co. Inc. 2617 S Columbia Rd Ste 2 Grand Forks, ND 58201	
	James R. Sott (701) 265-4619	Premier Financial Services Inc. 210 Division Ave Box 170 Cavalier, ND 58220	
	Jason D. Dockter (701) 258-9848	Fronteer Personnel Services 4007 State St Bismarck, ND 58503	
	Darin Peterson (701) 252-3720 (877) 252-3720	FSC Securities Corporation Midwest Financial Services 300 2 nd Ave NE Ste 207 Jamestown, ND 58401	
	Wayne Papke (701) 204-7434	LPL Financial 120 N 3 rd St Ste 240 Bismarck, ND 58501	
	Randall A. Quale (701) 842-4244	LPL Financial PO Box 607 Watford City, ND 58854	
	Troy M. Nelson (701) 255-1196 (888) 746-1196	Edward Jones 1701 Burnt Boat Dr Bismarck, ND 58501	
	Douglas E. Taylor (701) 774-3710 (800) 233-2383	LPL Financial Services PO Box 1566 Williston ND 58802-1566	
	Kevin D. Flaagan (701) 873-2273	PO Box 905 Beulah ND 58523	
Kirk Pandolfo (701) 223-0135 (800) 541-9851	Smith Barney 232 W Century Ave Bismarck, ND 58501		
Wayne A. Muehler (701) 255-6832 (800) 279-6016	Planningteam, Inc. 320 N 4 TH St Bismarck, ND 58501		

<u>PROVIDER COMPANY</u>	<u>SALES REPRESENTATIVE</u>	<u>CONTACT ADDRESS</u>	<u>HOME OFFICE</u>
Mass Mutual	Thomas Gunderson Jr. (701) 255-6832 (800) 279-6016	Planningteam, Inc. 320 N 4 th St Bismarck, ND 58501	
	Loren Japel (701) 255-2735 (800) 767-3634	New York Life PO Box 1053 1101 E Front Ave Bismarck, ND 58501	
	Thomas W. Reule (701) 662-7555	Edward Jones 314 4 th Ave Devils Lake, ND 58301	
	Dan Duhamel (701) 663-9947	Eagle One Investments LLC PO Box 485 Mandan, ND 58554-0485	
	Greg Tschetter (701) 838-5879 (877) 240-0342	Edward Jones 1000 31 st Ave SW Ste B Minot, ND 58701	
	Kim Beckman (701) 222-4411 (866) 793-8594	Dougherty & Company LLC PO Box 2157 Bismarck, ND 58502-2157	
	Brenda J. Cook (701) 838-0032	Edward Jones PO Box 2167 Minot, ND 58702	
	Alan Vandelinder (701) 837-9226	Ameriprise Financial Services 1111 31 Ave SW Minot, ND 58701	
	James Braun (701) 255-6832 (800) 279-6016	Planningteam, Inc. 320 N 4 th St Bismarck, ND 58501	
	Kelly Hayhurst (701) 852-6200 (866) 455-6200	Raymond James Financial 1111 31 st Ave SW Ste D Minot, ND 58701\	
Kevin Eggermont (701) 845-3600	Edward Jones 149 N Central Ave Ste 1 Valley City, ND 58072-2956		
Dana Rudnick (701) 255-1412	AIG Advisor Group 1929 N Washington St Ste PO		

<u>PROVIDER COMPANY</u>	<u>SALES REPRESENTATIVE</u>	<u>CONTACT ADDRESS</u>	<u>HOME OFFICE</u>
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Mass Mutual

Jane Reede
 (701) 255-4225
 (800) 444-0579

Stifel Nicolaus & Co. Inc.
 PO Box 1238
 Bismarck ND 58502-9955

Jim Sanders
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Century Financial Advisors Inc.
 PO Box 9056
 Fargo, ND 58103

Dave Fitterer
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Edward Jones
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Andrew DelaBarre
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 (877) 518-6545

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Rick Kuhn
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 Mandan, ND 58554

Terri O'Clair
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Calton & Associates Inc.
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Jay Bleth
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Edward Jones
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 Dickinson, ND 58601

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Schaff Tax & Financial Services
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Jady Hoffner
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HBW Insurance & Financial Services, Inc.
 3509 Interstate Blvd S Ste D
 Fargo, ND 58103

Mass Mutual

Jason Sauer

JS Financial Services

<u>PROVIDER COMPANY</u>	<u>SALES REPRESENTATIVE</u>	<u>CONTACT ADDRESS</u>	<u>HOME OFFICE</u>
	(701) 255-3688 (800) 811-7053	516 Colt Ave Bismarck, ND 58503	
	Eldon Erickson (701) 852-6200 (866) 455-6200	Raymond James Financial 1111 31 st Ave SW Ste D Minot, ND 58701	
	Graham Peterson (701) 255-4225 (800) 444-0579	Stifel Nicolaus & Co. Inc. PO Box 1238 Bismarck, ND 58502-9955	
	Darin Scherr (701) 838-2423	Edward Jones 1425 24 th Ave SW Minot, ND 58701	
	Ginger Quam (701) 839-6113	Edward Jones 1600 2 nd Ave SW Ste 28 Minot, ND 58701	
	Cassie Gylland (701) 235-9245	Edward Jones 3105 Broadway Ste 8 Fargo, ND 58102	
	Tom Glandt (701) 845-4623	LPL Financial 240 NW 3 rd St Valley City, ND 58072	
	Brian Neuhardt (701) 663-8401	Securian Financial Advisors of ND, Inc. 4431 Memorial Hwy Mandan, ND 58554	
	Brian Johnson (218) 643-8721	Bremer Bank 225 N 5 th St Breckenridge, MN 56520	
	Mark Kohlman (701) 852-5001	Edward Jones 726 20 th Ave SW Minot, ND 58701	
	Rob Schaner (701) 223-7274 (877) 808-5588	Raymond James Financial Services 600 S 2 nd St Ste 200 Bismarck, ND 58504	
	Joe Bitz (701) 223-7274 (877) 808-5588	Raymond James Financial Services 600 S 2 nd St Ste 200 Bismarck, ND 58504	
	Kenley Johnson (701) 775-7824	Edward Jones 117 N Washington St Grand Forks, ND 58203-3450	
Mass Mutual	David Evenson	Capital Resource Management LLC	

<u>PROVIDER COMPANY</u>	<u>SALES REPRESENTATIVE</u>	<u>CONTACT ADDRESS</u>	<u>HOME OFFICE</u>
	(701) 746-6729 (888) 948-1690	3001 B 32 nd Ave S Grand Forks, ND 58201	
	Mark D. Westgard (701) 258-9735 (800) 411-7572	Ameriprise Financial 505 E Main Ave Ste 100 Bismarck, ND 58501-4412	
	Loren Melvie (701) 258-9735 (800) 411-7572	Ameriprise Financial 505 E Main Ave Ste 100 Bismarck, ND 58501-4412	
	Craig Zavalney (701) 837-5678	Ameriprise Financial 720 Western Ave Ste 210 Minot, ND 58701	
	Jason Kirchmeier (701) 258-9735 (800) 411-7572	Ameriprise Financial 505 E Main Ave Ste 100 Bismarck, ND 58501	
	Joel Bird (701) 258-9735 (800) 411-7572	Ameriprise Financial 505 E Main Ave Ste 100 Bismarck, ND 58501-4412	
	David Schlafman (701) 258-9735 (800) 411-7572	Ameriprise Financial 505 E Main Ave Ste 100 Bismarck, ND 58501-4412	
	Mark Presteng (701) 352-1217 (800) 776-1218	Ameriprise Financial 1245 Lawler Ave Grafton, ND 58237	
	Bruce Christenson (701) 837-9226	Ameriprise Financial 1111 31 st Ave SW Ste A Minot, ND 58701	
	Angela McCarthy (701) 364-5640	Ameriprise Financial 4141 31 st Ave S Ste 100B Fargo, ND 58104	
	Jason Brostrom (701) 224-0153	Edward Jones 1777 E Capitol Ave Bismarck, ND 58501	
	Nathan Dvorak (701) 323-0403	Edward Jones 1830 E Century Ave Ste 4 Bismarck, ND 58501	
	Frank Weisser (701) 288-3491	McIntosh County Bank PO Box 100 Ashley, ND 58413	
Mass Mutual	Paul Stiegelmeier (701) 258-9888	Wells Fargo Advisors 4501 Coleman St Ste 204	

<u>PROVIDER COMPANY</u>	<u>SALES REPRESENTATIVE</u>	<u>CONTACT ADDRESS</u>	<u>HOME OFFICE</u>
		Bismarck, ND 58503	
	Jeanne Peterson (701) 772-1153	Edward Jones 1375 S Columbia Rd C-1 Grand Forks, ND 58201	
	Ron Monzelowsky (701) 258-6353	VUE Financial Services 1655 N Grandview Ln Ste 106 Bismarck, ND 58503	
	Dream O'Brien (701) 456-3390	Choice Financial Wealth Mgmt 323 18 th St W Dickinson, ND 58601	
	Nick Lonski (406) 433-3600	Edward Jones 611 S Central Ave Ste A Sidney, MT 59270-4919	
	Richard Engen (701) 271-1590	Financial Advantage 100 4 th St S Ste 110 Fargo, ND 58103	
	Troy Ivesdale (701) 476-1694	Edward Jones 1533 S University Fargo, ND 58103	
	Steven Jacob (701) 222-8504	Woodbury Financial Services 121 S 5 th St Bismarck, ND 58504	
	Mike Stein (701) 223-8090	425 E Ave C Bismarck, ND 58501	
	Robert Shannon (701) 774-8276	Edward Jones 1418 2 nd Ave W Williston, ND 58801	
	Klayton Oltmanns (701) 225-7148	Edward Jones 244 14 th St W Ste D Dickinson, ND 58601	
	Brian J Thompson (701) 792-3395	Primevest Financial Services 2500 32 nd Ave S Grand Forks, ND 58201	
	Paul Zenker (218) 233-0726	Edward Jones 1500 30 th Ave S Ste C Moorhead, MN 56560	
Mass Mutual	Joseph Branca (701) 258-9735	Ameriprise Financial 505 E Main Ave Ste 100 Bismarck, ND 58501	

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	Tyler Huck (701) 663-1410	Schafer Financial Group 402 1 st St NW Mandan, ND 58554	
	Paul S Meyers (701) 365-8083	Woodbury Financial 1330 23 rd St S Ste A Fargo, ND 58103	
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	James Drummond (701) 298-9009	Drummond Financial Services PO Box 1884 Fargo, ND 58107-1884	
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Mass Mutual	Daniel Suckert (701) 281-5264	Edward Jones 4040 42 nd St S Ste I Fargo, ND 58104	

<u>PROVIDER COMPANY</u>	<u>SALES REPRESENTATIVE</u>	<u>CONTACT ADDRESS</u>	<u>HOME OFFICE</u>
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	Jodi L Hendrickson (701) 642-5762	Edward Jones 114 11 th St N Wahpeton, ND 58075-0157	
	Sarah S Rooney (701) 258-9735	Ameriprise Financial 505 E Main Ave Ste 100 Bismarck, ND 58501	
	Todd Dalziel (701) 662-6637	Financial Network 304 5 th Ave NE Devils Lake, ND 58301	
	Gary J Hansen (701) 323-7953 (877) 220-7282	Edward Jones 619 Riverwood Dr Ste 201 Bismarck, ND 58504	
	Brad Dewald (701) 323-7750	New York Life Insurance Co. 1101 E Front Ave Bismarck, ND 58501	
	Darin Bohl (701) 228-2771	LPL Financial 101 11 th St E Ste 1 Bottineau, ND 58318	
	Mitchell H Iverson (701) 746-1365	2617 S Columbia Rd Ste 2 Grand Forks, ND 58201	
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Mass Mutual	Gary E. Berube (701) 223-9084	419 Riverwood Dr Ste 102 Bismarck, ND 58504	
	Matthew B. Puetz, CLU (701) 223-9084	419 Riverwood Dr Ste 102 Bismarck, ND 58504	

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Edward Jones

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Cindy
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